

THE STATUS OF *HIMALAYASAURUS TIBETENSIS* (ICHTHYOPTERYGIA)

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ABSTRACT

A reexamination of the type specimen of *Himalayasaurus tibetensis* reveals that the bone previously identified as a coracoid is more likely a radius. This bone clearly shows a synapomorphy of the Shastasaurinae, which confirms a shastasaurid affinity previously proposed for the species. *H. tibetensis* is diagnostic for having remarkable cutting-edges on its flattened tooth crowns, which is otherwise unknown for ichthyopterygians. *Himalayasaurus* is among the largest ichthyopterygians known, rivaling the closely related *Shonisaurus*.

INTRODUCTION

Himalayasaurus tibetensis was originally described based on a partial skull and some associated postcranial elements (Dong, 1972). This Norian (Upper Triassic) species is comparable in size to the slightly older *Shonisaurus* from the Carnian (Upper Triassic) of Nevada, which is one of the largest ichthyopterygians thus far reported (Camp, 1980; Kosch, 1990; McGowan, 1991, 1996; McGowan and Montani, 1999). This is the youngest of the three ichthyopterygian genera reported from China (Young, 1965; Young and Dong, 1972; Callaway and Massare, 1989a; Montani and You, 1998). Dong (1972) suggested a shastasaurid affinity for *H. tibetensis*, but poor preservation prevented further testing of this hypothesis. Also, the relationships among various ichthyopterygians were poorly understood at that time, which made a phylogenetic study of *H. tibetensis* difficult.

Callaway (1989) was the first to propose a phylogenetic hypothesis that included *Himalayasaurus*. A generally accepted assumption of the time was that the so-called "Shastasauridae", which always contained *Shastasaurus*, *Californosaurus*, and *Merriamia* (now considered *Toretocnemus*; see Montani, in press), formed a natural group. This view, initiated by Merriam (1902, 1908), was followed by most reviewers (e.g., Kuhn, 1934; von Huene, 1951, 1956; Mazin, 1982; Kirton, 1983; Callaway, 1989; Dal Sasso and Pinna, 1996), although they variously included some or all of the following taxa in the Shastasauridae in addition to the three core genera listed above:

Pessosaurus, *Cymbospondylus*, *Besanosaurus*, *Shonisaurus*, and *Himalayasaurus*. With this assumed monophyly, Callaway (1989) analyzed a data matrix with 33 characters coded for seven "Shastasauridae", plus *Grippia* and *Petrolacosaurus* as the outgroup. He found a single most parsimonious tree in which *Himalayasaurus* was the basal taxon of the subclade Shastasaurinae (Figure 1A), whose sister taxon was *Shonisaurus* (Callaway, 1989). Dal Sasso and Pinna (1996) reanalyzed Callaway's (1989) data matrix by adding *Besanosaurus* and obtained six most parsimonious trees. They figured one of the six, which suggested that *Himalayasaurus* and *Shonisaurus* might form a clade of their own (Figure 1B). Montani (in press) recently proposed a more comprehensive phylogenetic hypothesis for ichthyopterygians by analyzing a data matrix with 104 characters coded for 27 better known ichthyopterygians and five outgroups. Based on the strict consensus of 12 most parsimonious trees, he found that the "Shastasauridae" was not monophyletic as traditionally assumed (Motani, in press); *Californosaurus* and *Toretocnemus*, two of the three core taxa, were more closely related to parvipelvians than to *Shastasaurus* (Figure 1C). Motani (in press) did not include *Himalayasaurus* in his analysis because the genus was too poorly known at the time.

A recent reexamination of the type specimen of *Himalayasaurus tibetensis* revealed several anatomical features that are phylogenetically informative. The purpose of the present contribution is to reevaluate the phylogenetic relationships of this species based on the new knowledge.

MATERIALS AND METHODS

The type and only specimen of *Himalayasaurus tibetensis* is stored at the Institute of Vertebrate Paleontology and Palaeoanthropology, Academia Sinica, China (IVPP V4003). The specimen comprises of five parts: IVPP V4003-1 (a slab with a partial skull and vertebrae), V4003-2 (fin element), V4003-3 and -4 (caudal vertebrae?) and V4003-5 (radius). It was not possible to examine V4003-3 and -4 in the present study. Comparisons were made with shastasaurian specimens stored at Berlin-Ichthyosaur State Park, Nevada (BISP); the Marjorie Barrick Museum of Natural History, University of Nevada at Las Vegas (FZVE); the Royal Ontario Museum, Toronto, Canada (ROM); and the University of California Museum of Paleontology, Berkeley (UCMP).

Measurements less than 145 mm were taken using dial calipers and recorded to the nearest 0.1 mm. A plastic tape measure was used for larger measurements for *Himalayasaurus*, which were recorded to the nearest 10 mm. Larger measurements for *Shonisaurus* were made with large Vernier calipers and recorded to the nearest millimeter.

The range for the relative length of cervical vertebral centra was obtained in the following manner. Triassic ichthyosaurs have long necks (Massare ad Callaway, 1990), so the ratio between the maximum diameter of each centrum and its length was calculated for the first ten centra of each specimen, and the range of this MD/L ratio was listed. However, all ten centra were not always measurable with confidence, in which case the range was given based on a subset of the ten centra (those that were measurable).

Higher taxonomic names within the Ichthyopterygia follow Motani (in press). A phylogenetic tree with these names is provided as Figure 1. It should be noted that the names Shastasauridae and Shastasaurinae are differently defined from the traditional usage mentioned in the introduction.

SYSTEMATIC PALEONTOLOGY

Subclass Diapsida Osborn, 1903
 Order Ichthyosamia Je Blainville, 1825
 Suborder Ichthyopterygia Owen, 1840
 Family Shastasauridae Merriam, 1902
 Subfamily Shastasaurinae Merriam, 1908
 Genus *Himalayasaurus* Dong, 1972

Type and Only Species—*Himalayasaurus tibetensis* Dong, 1972.

Diagnosis—Large shastasaurian probably exceeding 15 m in total length; tooth crowns

labiolingually flattened, with remarkable cutting edges on mesial and distal sides giving swollen outline in labiolingual view; radial shaft not reduced posteriorly and absent anteriorly; cervical vertebral centra short, with Width/Length ratio near 3.0.

Locality and Horizon—Upper Triassic (Norian) of Tibet.

Himalayasaurus tibetensis Dong, 1972.

Type and Only Specimen—IVPP V4003.

Diagnosis—As for genus.

Description—Radius: IVPP V4003-5 (Figure 2A), previously identified as a coracoid (Dong, 1972), is herein identified as a radius, because the outline of the bone is very similar to those of the shastasaurine radii (Figure 2) than to any ichthyopterygian coracoids. The bone is thicker proximally (87.2 mm) than distally (76.4 mm), and the decrease of the thickness is gradual between the two ends, as in most fin elements of *Shonisaurus* (McGowan and Motani, 1999). The distal margin of the bone is posteriorly curved (Figure 2A, bracket), where it forms a second, small facet. This area is much thinner (44.8 mm) than the main part of the distal end (76.4 mm), so the second facet is remarkably smaller than the distal facet. Such a small posterodistal facet is known for shastasaurine radii, in which it articulates with the ulna (Figure 2D-F).

The anterior margin is thin (26.0 mm), smooth, and convex without a notch. There is a wedge-shaped incision near the position where notches would occur in merriamosaurian radii (Figure 2A, arrow), but this is clearly a break: there is no thickening of the area that is usually associated with true notches. Surface striations are radial anteriorly, suggesting the loss of the plesiomorphic shaft in this area. The posterior margin is concave and the surface striations are parallel to the margin, so the plesiomorphic shaft is probably retained on this side of the bone. The co-occurrence of the complete loss of the radial shaft anteriorly and the complete retention posteriorly is unique to some shastasaurines, such as *Shonisaurus* and *Shastasaurus neoscapularis* (McGowan, 1994; Motani, 1999).

IVPP V4003-5 is wider (295 mm) than long (275 mm), as in most shastasaurine radii (one of the radii referred to *Shonisaurus* is longer than wide, probably because of distortion; Figure 2). It is also larger than any of the measured *Shonisaurus* radii (Table 1), but the difference is not remarkable.

Although the outline of IVPP V4003-5 may resemble those of some euichthyosaurian coracoids, the bone lacks a typical feature of those coracoids: the presence of both scapular and glenoid facets. The above mentioned posterodistal facet is too small to be either of the facets.

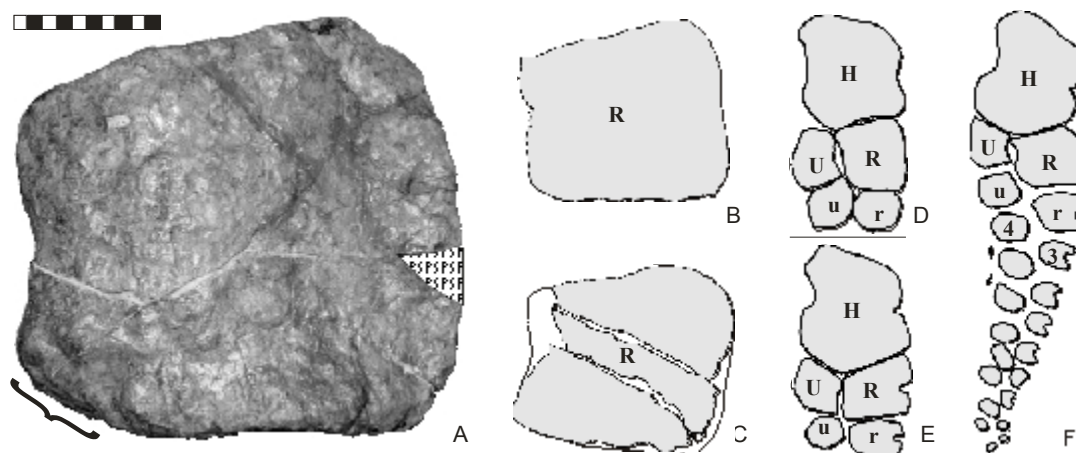


FIGURE 2. Radius and other forefin elements of shastasaurines. A, radius of *Himalayasaurus tibetensis* (TVPP V4003-5). Bracket indicates a small posterodistal facet. Vertical stripes indicate the break mentioned in text B-C, radii of *Shonisaurus* (modified from Camp, 1980). D, forefin of *Shonisaurus* (after McGowan and Motani, 1999). E, forefin of *Shastasaurus* (modified from Callaway and Massare, 1989b). F, forefin of *Shastasaurus neoscapularis* (modified from McGowan, 1994). Scale bar in A is 10 cm. B-F are laterally inverted for ease of comparison, and are not to scale.

Fin element: IVPP V4003-2 (Figure 3) was previously identified as a vertebral centrum. The outline of the bone is indeed very similar to that of a typical euichthyosaurian caudal centrum, which seems to support this identification. However, two features of the bone suggest otherwise. First of all, the bone is not amphicoelous, unlike ichthyopterygian vertebral centra. Second, the thickness distribution suggests that the supposed bilateral symmetry (Figure 3D) does not exist: the axis of bilateral symmetry, if any, should be as in Figure 3C. Moreover, a close inspection reveals that a slightly concave profile of the supposed dorsal margin of the bone is formed by unprepared matrix (Figure 3D, white area). Therefore, the interpretation given in Figure 3C is more reasonable than the one in Figure 3D. The thickness decreases gradually from the top of Figure 3B to the bottom (Figure 3A), and such a uniform decrease in thickness is typical of fin elements (McGowan and Motani, 1999). We conclude that IVPP V4003-2 is more likely a fin element than a vertebral centrum.

It is difficult to specify the position of this element within a fin, or whether it belongs to a forefin or hindfin. The element is not notched as in the leading-edge elements of the forefin of the Shastasaurinae, or widened as in digit-IV elements. It is possibly a digit-V element for these reasons, but too little is known about digit V of the Shastasaurinae to test this identification further. The relative size of the element with respect to the radius suggests that the

element was not very distal within a fin, judging from the only articulated forefin of the Shastasaurinae, *Shastasaurus neoscapularis* (ROM 41993; Figure 2F).

Skull and mandible—A, very fragmentary and unprepared skull and mandible are preserved in IVPP V4003-1 (Figure 4C). At least two jaw rami are preserved, but it is difficult to judge (with confidence) whether they are derived from the upper or lower jaws. Therefore, the description will be given according to a tentative identification given in Figure 4C, which is based on the general distribution of bones. At least two vertebral centra are preserved near the dentigerous bones (Figure 4C), so it is likely that the specimen underwent disturbance during preservation.

The dentary is far from complete: only a part of dentigerous region is preserved. Fourteen better-preserved tooth positions can be confirmed over 680 mm, with less conspicuous ones preserved both anteriorly and posteriorly, making the total preserved count of 16. The ninth position is associated with a nearly complete tooth (Figure 4), but others are empty. There is a structure that appears to be a coronoid process in the posterior part of the bone, but this is probably unprepared matrix (Figure 4C, matrix): if this were a bony process, it would dorsally close the last four tooth positions.

There is a partially exposed tooth crown that points in the opposite direction to the one on the dentary, so the bone associated with this tooth crown is tentatively identified as a part of the upper jaw, rather

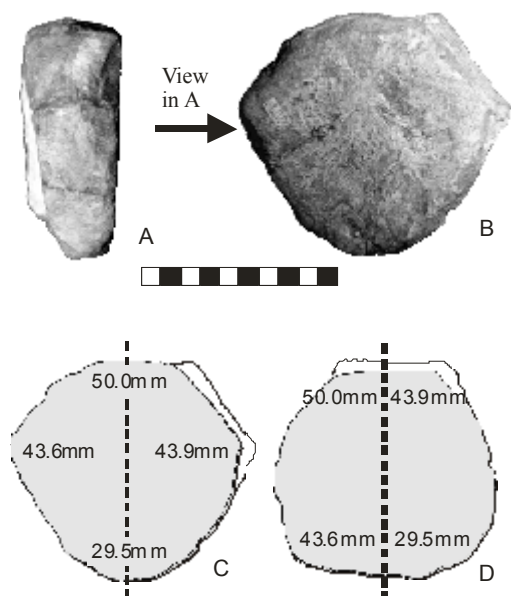


FIGURE 3. A fin element of *Himalayasaurus tibetensis* (TVPP V4003-2). A, horizontal view from the direction indicated by arrow in B. White area on the left is plaster. B, planar view. C-D, two competing interpretations for the bilateral symmetry of the elements, with distribution of the thickness of the bone for four corners. The element was previously identified as a caudal vertebra. See text for explanation. Scale bar is 10 cm.

than the other mandibular ramus. The upper jaw is less well preserved than the lower one. Nine tooth positions can be seen posteriorly (Figure 4C), but this jaw ramus is not well exposed anteriorly where the tooth just mentioned is located.

Dentition: Most characteristic of *Himalayasaurus tibetensis* is its dentition, especially the tooth crowns. Only one tooth that is well exposed in IVPP V4003-1, in association with the dentary. The crown looks like a thick dagger blade; it is labiolingually compressed and its cutting edges are remarkably well developed both mesially and distally (Figure 4A, B). Coarse and deep longitudinal striations run along the surface of the crown especially proximally. The pattern of enamel crystals can be observed with the naked eye on the surface, and these are elongated nearly perpendicular to the mesial and distal margins of the crown. The root is also coarsely striated, but it is not possible to judge whether this is because of folding of the dentine wall. The boundary between the crown and root is located at the level of the dentigerous margin of the dentary, so the gumline was probably not very high above this margin (only basal ichthyopterygians have high gum lines).

The entire tooth is 133.0 mm long, of which 59.7 mm is the crown. The crown appears more prominent than the root in labiolingual view: the maximum distomesial width of the tooth is 39.5 mm, for the swollen part of the crown, whereas the minimum for the constricted part of the root is 33.4 mm. This is unlike any other ichthyopterygians (see Massare, 1987): crowns are never larger than the roots. A labiolingually compressed crown is not unique to *Himalayasaurus*. For example, *Temnodontosaurus* is occasionally known to have labiolingually compressed tooth crowns (thus the name of the type species *T. platyodori*), although such teeth are not necessarily present in all individuals (McGowan, 1974; 1979; Massare, 1987).

Tooth implantation is difficult to establish. A bony fixation between the root and dentigerous bone cannot be established with confidence. Tooth positions are separated by ridges developed on dentigerous bones

TABLE 1. Size comparison between *Himalayasaurus* and *Shonisaurus*. The width of the radius was measured at the distal part of the bone. The widths of the vertebral centra do not include parapophyses or diapophyses. The diameter of the tooth root in *Shonisaurus* is based on the maximum diameter of four dental sockets, which should be an overestimation of the true value. The same for *Himalayasaurus* is based on the narrowest part of the root of the only exposed tooth, which should be a slight underestimation of the thickest part. McGowan and Motani (1999) refer BISP 1 to Camp's (1980) Specimen D, but this was a mistake made by RM. Asterisks indicate derivation from Camp (1980).

| | | Radius | | Mid Cervical | | Root Diam | Total Length |
|-----------------------|------------|--------|-------|--------------|----------|-----------|--------------|
| | | Length | Width | Width | Height | | |
| <i>Shonisaurus</i> | BISP-1 | | | 202 | | | >1450 |
| | BISP-3 | 175 | 204 | | | | |
| | FZVE-1 | 240* | 280* | 150-200* | 150-170* | | |
| | FZVE-2 | 247 | 239 | 170-180* | 140-180* | | |
| | FZVE-3 | | | | | <31.7 | |
| <i>Himalayasaurus</i> | IVPP V4003 | 275 | 295 | 220 | 160 | >33.4 | |

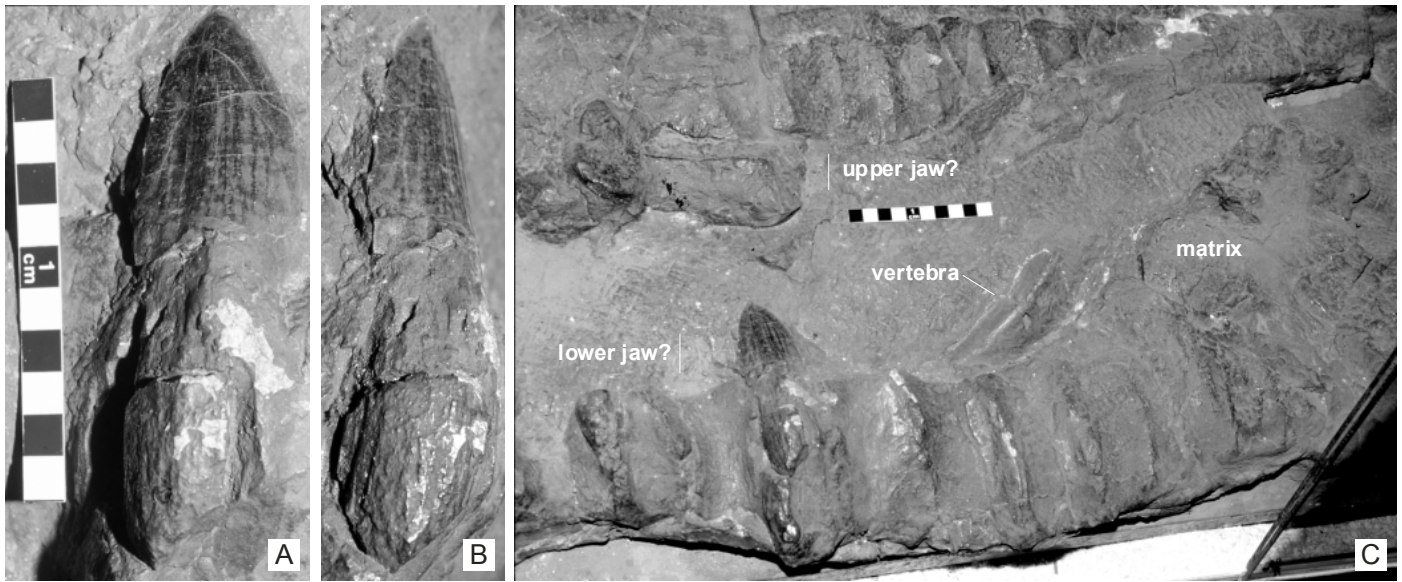


FIGURE 4. The main slab of the holotype of *Himalayasaurus tibetensis* (IVPP V4003-1). A, only complete tooth in the specimen in labio-lingual view. Compare with B to see that the cutting edges are extremely well developed for an ichthyopterygian. B, same from slightly anterior direction. C, middle part of the slab. Anterior to the left. Unlabeled bones are not identifiable. Scale bars are 10 cm.

(Figure 4C), as in aulacodont ichthyosaurs such as *Leptonectes tenuirostris*. However, because of the incompleteness of the specimen, it is possible that these ridges may actually represent the remains of bony septa of thecodont or ankylotheodont tooth implantation that has been broken during preservation. In *Shonisaurus*, the teeth are set in separate sockets but the septa between sockets are thin (FZVE-C; reported as unnumbered by McGowan and Motani, 1999). Such thin walls of bone, even if they existed in life, are unlikely to be preserved in IVPP V4003-1, considering that dentigerous bones are halfway eroded in this specimen. It is also possible that the tooth implantation was subtheodont: it is inevitable that the dentary of *Utatusaurus* would show similar interdental ridges once the teeth were removed (see Montani, 1996:fig. 3C). In short, the tooth implantation in *Himalayasaurus* could be any of the four types known for ichthyopterygians (Motani, 1997).

Vertebrae: One of the vertebral centra preserved in IVPP V4003-1 is sufficiently exposed. It has been removed from its life position, being associated with the dentigerous part of the jaw. The centrum is 220 mm wide, 160 mm high, and 75 mm long. Because the centrum is wider than high, it is probably derived from the mid-cervical region. Preservation is too poor to elaborate on the identification.

DISCUSSION

Lucas and Gonzales-Leon (1995:fig. 4) and Lucas (1997:fig. 3) considered *Himalayasaurus tibetensis* a *nomen dubium*. Although they provided no discussion of this designation, their views are understandable considering the incompleteness of the type and only specimen (IVPP 4003). However, the species is unique among ichthyopterygians in having teeth with remarkably well developed crowns. Furthermore, diagnostic features of the postcranial elements enable the phylogenetic discussion of the species as given below. In light of the new knowledge provided in the present contribution, we conclude that the species is diagnostic and valid.

It is most likely that *Himalayasaurus* is closely related to shastasaurines, judging from the shape of the radius. Three features of the bone are particularly important: (1) the plesiomorphic shaft of the radius is completely lost or extremely reduced anteriorly (apomorphic within ichthyopterygians); (2) the plesiomorphic shaft is not reduced posteriorly (plesiomorphic for ichthyopterygians); and (3) the radius is wider than long (apomorphic within ichthyopterygians). Features 1 and 3 convergently occur in the Shastasaurinae and Parvipelvia (Figure 1), but feature 2 is primitively lost in the latter group (Motani, 1999, in press). Therefore, it is most likely that *Himalayasaurus* is closely related to the Shastasaurinae.

The next question is whether *Himalayasaurus* is the sister group of the Shastasaurinae or is nested within it. Only one feature is available to assess this question: the relative length of cervical vertebral centra. The only measurable cervical centrum of *Himalayasaurus* is much shorter than wide, with the Maximum Diameter/Length ratio of 2.93. Such short cervical centra are typical of *Shonisaurus* (MD/L = 3.19-3.23 for BISP Specimen 1; see Materials and Methods of the calculation of the range), whereas *Shastasaurus* has much longer cervical centra (DM/L = 1.90-2.17 for UCMP 9076). Cervical centra are not short in the outgroup, including *Mixosaurus* (MD/L = 1.56-1.68) and *Californosaurus* (MD/L = 1.67-2.05), so the shortened cervical centra seem to be apomorphic within the Shastasaurinae (measurements unavailable for *Besanosaurus*). Given the absence of any opposing features, we conclude that it is most reasonable to consider shortened cervical centra as a synapomorphy of *Himalayasaurus* and *Shonisaurus*. Therefore, *Himalayasaurus* is probably more closely related to *Shonisaurus* than to *Shastasaurus*, as suggested in Figure 1 (dotted line). This conclusion accords with that of Dal Sasso and Pinna (1996), and is very close to Callaway's (1989) phylogenetic hypothesis. Nevertheless, it is desirable to obtain more data on *Himalayasaurus* in the future to test the present hypothesis: the shortness of the cervical centra may be related to large body size of the two genera.

Himalayasaurus was probably comparable to *Shonisaurus* in size. McGowan and Motani (1999) gave an estimated total length of 14.5 m for the most complete skeleton of *Shonisaurus* (BISP 1) which is close to what was estimated by Camp (1980) and Kosch (1990). Individuals larger than BISP 1 are known for *Shonisaurus*, being represented by incomplete material (McGowan and Motani, 1999). *Himalayasaurus* (IVPP V4003) is larger than BISP 1 in vertebral measurement, but not by more than 10% (Table 1). Therefore, *Himalayasaurus* was probably within the size range of *Shonisaurus*, assuming similar body proportions for the two closely related genera. The large size and extensive cutting edges of the teeth in *H. tibetensis* suggest that large vertebrates were probably among its prey items, as McGowan (1974) and Massare (1987) suggested for some large Jurassic ichthyosaurs.

The similarities between *Himalayasaurus tibetensis* and *Shonisaurus popularis*, as pointed out above, raise the question whether the two, given the limited material of *H. tibetensis*, are congeneric. The only difference between the two is the shape of the tooth crown, but this feature alone does not warrant a separate generic status. If the two species are considered congeneric, the name *Himalayasaurus*

Dong, 1972 has priority over *Shonisaurus* Camp, 1976. However, it is also possible that the two species are considerably different in the region of the body that is unpreserved in *H. tibetensis*. Considering the poor preservation of *H. tibetensis*, we remain conservative and keep the two species in separate genera.

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